320341 Programming in Java



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Lecture 11: Introduction to Concurrency

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Introduction



Multithreaded Programs

- A program represents separate, independently running subtasks
- Threads allow multiple activities to proceed concurrently
 - □ Example: typing data & printing at the same time in a text editor
 - Each independent task is called a **Thread**

- A single program can have multiple concurrently executing threads

Introduction



Multithreaded Programs

- Programs that run more than one thread at the same time

When to use Multithreading?

- When part of your program is tied to a particular event or resource, you don't want to hang-up the whole program
- Create a **Thread** to handle that part of the program

Definitions

Multitasking



- A multitasking operating system can run more than one process at a time
- CPU time is periodically provided to each process

Preemptive Multitasking

- OS interrupts programs without consulting with them to release the CPU
- E.g., Unix/ Linux, Windows NT/ XP, Windows 9x (32-bit) and OS X

Co-operative (non-preemptive) Multitasking

- Programs only interrupted when they are willing to yield control
- E.g., Windows 3.x, Mac OS 9, OSs on devices like cell phones



Two approaches for implementing threads

- 1. Implement **Runnable** interface (**Thread** class separate from main class)
- 2. Inherit from **Thread** class (Makes the main class a **Thread**)
 - This approach is no longer recommended!



Approach 1: Implement Runnable interface (recommended approach)

1. Place the code for the task into the *run* method of a class that implements the **Runnable** interface

```
public interface Runnable {
    void run();
}
Example

class MyRunnable implements Runnable {
    public void run() {
        // task code
        }
    }
```



Approach 1: Implement **Runnable** interface (recommended approach continued ...)

2. Construct object of your class

Runnable r = new MyRunnable();

3. Construct a Thread object from the Runnable

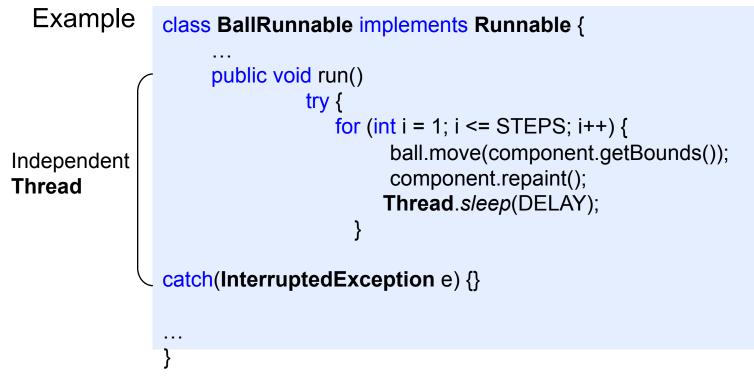
```
Thread t = new Thread(r);
```

4. Start the Thread using the start method

t.start();

Tasks inside the *run* method can now be executed in parallel!





- Running the Thread (e.g. inside main class)

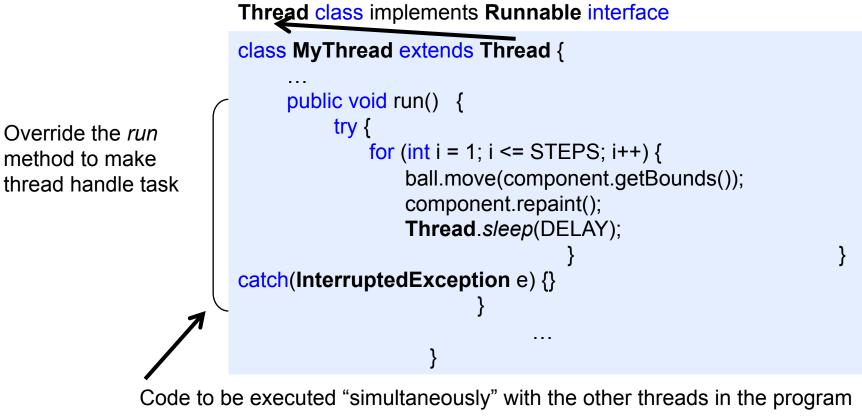
```
Ball b = new Ball();
panel.add(b);
Runnable r = new BallRunnable(b, panel);
Thread t = new Thread(r);
t.start();
```

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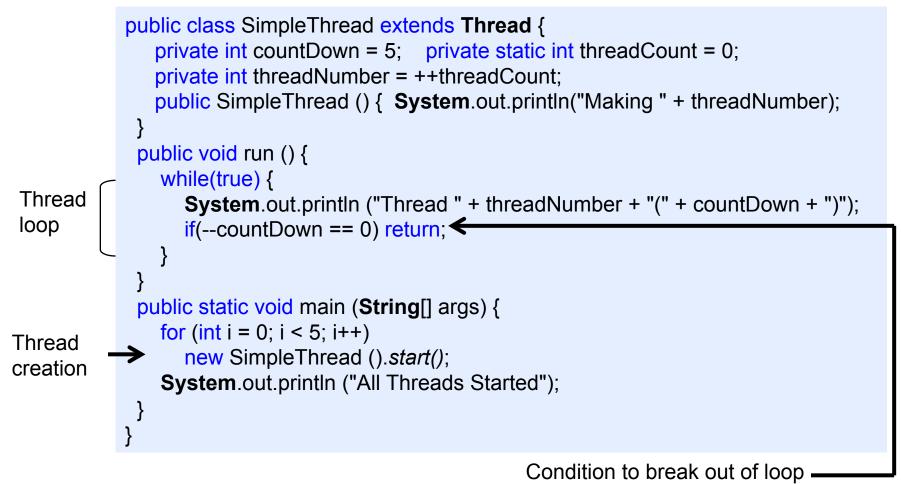
Approach 2: Inherit from Thread class (Not Recommended!)

- Define Threads using a subclass of the Thread class
- The **Thread** class has all methods necessary to create and run threads





Example





Sample Output Making 1 Thread 1(1) Making 2 Thread 2(2) Thread 3(2) Thread 1(5) Making 3 All Threads Started Thread 1(4) Thread 5(5) Thread 2(5) Thread 4(4) Thread 3(5) Thread 2(1) Thread 1(3) Thread 3(1) Thread 2(4) Thread 5(4) Making 4 Thread 4(3) Thread 3(4) Thread 5(3) Thread 1(2) Thread 4(2) Thread 2(3) Thread 5(2) Thread 3(3) Thread 4(1) Thread 5(1) Making 5 Thread 4(5)

Note:

- Each thread gets a portion of the CPU time to execute
- Threads are not run in the order in which they were created!



Provides general services in the background while the program is running

- The program terminates when all non-daemon threads have completed
- Daemon threads are not part of the essence of the program

How to find if a thread is daemon

- Call the method isDaemon() of the Thread class
- If a thread is a daemon, all threads it creates are daemon

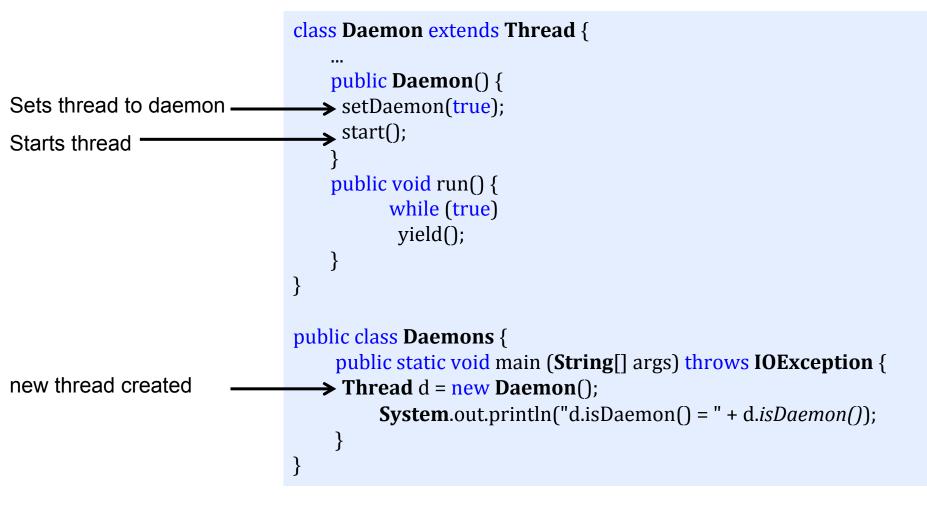
Setting a thread to daemon

- Call the **Thread** method **setDaemon()**

Daemon Threads



Example



Interrupting Threads



The interrupt method can be used to request termination of a thread

- Each thread should occasionally check if it is interrupted
- First call **Thread**.currentThread to get current thread
- Next call is *interrupted* method

while (!Thread.currentThread().isInterrupted() && more work to do) {
 do more work
}

- If the interrupted method is called on a blocked thread, the blocking call (such as *sleep* or *wait*) is terminated by an **InterruptedException**

Interrupting Threads

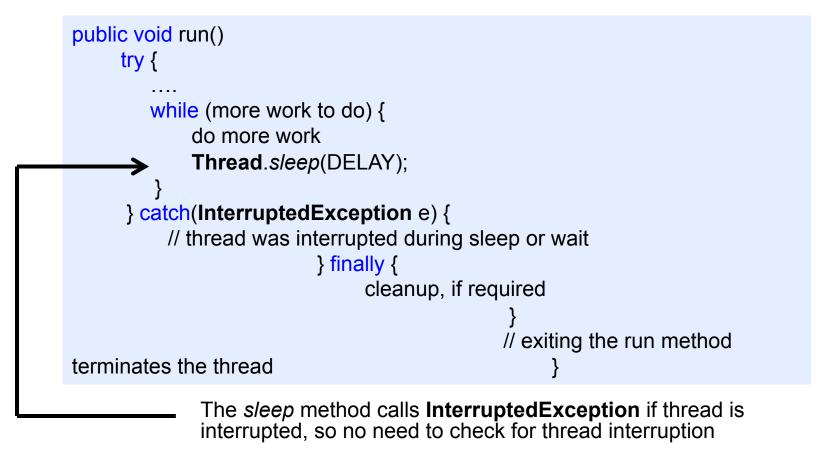


The run method has the form

Example



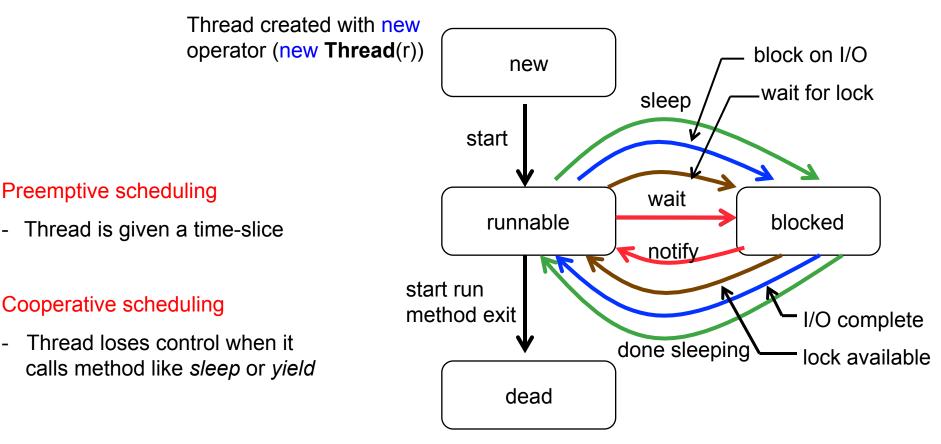
The run method containing sleep method



Thread States



Threads can be in one of four states (New, Runnable, Blocked or Dead)



- A blocked thread reenters runnable state using the same route that blocked it!
- Online simulation: <u>http://courses.cs.vt.edu/~csonline/OS/Lessons/Processes/index.html</u>

Dead Threads



A thread is dead for two reasons

- 1. Dies a natural death when the *run* method exits normally
- 2. Dies abruptly because an uncaught exception terminates the run

Find out if a thread is alive (in runnable or blocked state)

- Call isAlive method - returns true if thread is in runnable or blocked state



Thread Priorities

- Every **Thread** has a priority
- By default, a **Thread** inherits the priority of its parent thread
- Increase priority of a thread by using *setPriority* method
- Priority values (defined in Thread class)
 MIN_PRIORITY
 MAX_PRIORITY
 NORM_PRIORITY
- Thread priorities are system dependent (e.g., in Sun JVM for Linux, priorities are ignored)

Thread Groups



Thread Groups make it possible to work with a group of threads

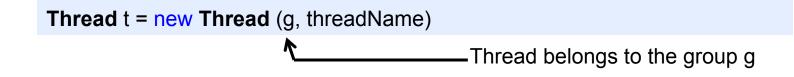
- Threads are categorized according to functionality

```
Constructing a Thread Group
```

```
String groupName = . . .;
ThreadGroup g = new ThreadGroup (groupName)
```

```
Must be unique
```

```
Add threads to the Group
```



Thread Groups



Find out if any threads of a particular group are still runnable

```
if (g.activeCount() == 0) {
    // all threads in the group g have stopped
}
```

```
Interrupt all threads in a group
```

g.interrupt(); // interrupts all threads in group g



Race condition

 When two or more threads share access to the same object and if each calls a method that modifies the state of the object, corrupted objects can result

Synchronization Approaches in Java

- Exclusion synchronization
- Condition synchronization



Exclusion synchronization

- Synchronization by *mutual exclusion*

Condition synchronization

- Synchronization by cooperating threads
- Makes use of exclusion synchronization

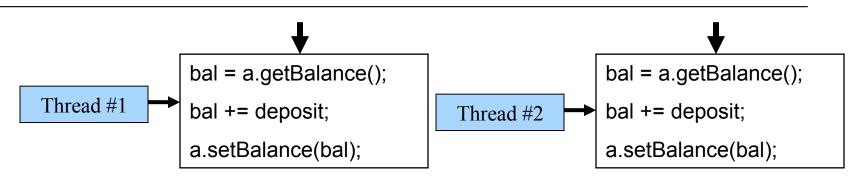


Example: Suppose you want to perform the steps below using threads

- Fetch bank balance
- Increase balance by deposit amount
- Write results back to account record

get-modify-set sequence on shared Resources must be synchronized

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Race Condition

- Thread #1 and thread #2 can modify *bal* in an interleaved way
- How to prevent 2 threads from simultaneously writing & reading same object?



Synchronization is required for reliable communication between threads as well as for mutual exclusion

Java uses 2 mechanisms to protect code block from concurrent access

- Use **synchronized** keyword OR
- **ReentrantLock** (JDK 5.0)

ReentrantLock



Basic outline for protecting code block

```
myLock.lock(); // a ReentrantLock object
try {
    critical section
} finally {
    myLock.unlock(); // make sure the lock is unlocked even if an exception is thrown
}
Guarantees that only one thread can enter the critical section (Exclusive synchronization)
```

Other threads are blocked until the 1st thread unlocks the lock object

- The lock is called *reentrant* because a thread can repeatedly acquire a lock it already owns
- The thread has to call *unlock* for every call to *lock*, in order to relinquish lock

ReentrantLock



```
Example: Money Transfer in a Bank
       public class Bank {
         private Lock bankLock = new ReentrantLock();
         public void transfer(int from, int to, int amount) {
           bankLock.lock();
           try {
             if (accounts[from] < amount) return;
             System.out.print(Thread.currentThread());
  Serialized
access
             accounts[from] -= amount;
             System.out.printf(" %10.2f from %d to %d", amount, from, to);
             accounts[to] += amount;
             System.out.printf(" Total Balance: %10.2f%n", getTotalBalance());
           } finally {
              bankLock.unlock();
```

ReentrantLock implements Lock interface

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Which Code Blocks to Protect?

- Code blocks that require multiple operations to update or inspect a data structure

Lock class package

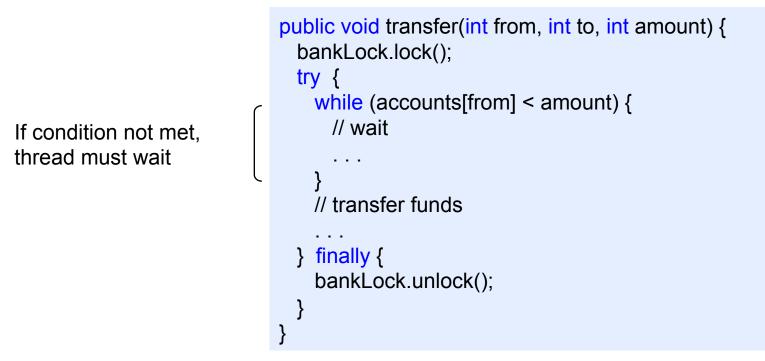
- java.util.concurrent.locks

Condition Objects

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Use **Condition objects** to manage threads that have acquired a lock but cannot do useful work

- Condition objects are also called Condition variables



- While the thread is waiting for its condition to be fulfilled, no other thread can access the lock

Condition Objects



A lock object can have one or more associated condition objects

- Obtain a condition object with the **newCondition** method

```
class Bank {
    private Condition sufficientFunds;
    ....
    public Bank() {
        sufficientFunds = bankLock.newCondition();
    }
}
```

- If the transfer method finds that the sufficient funds are not available, it calls sufficientFunds.await()
- The current thread is deactivated and gives up the lock
 - □ Enters the **wait set** for that condition
 - Remains deactivated until another thread calls signalAll on the same condition



Call **signalAll()** after finishing transferring the money

```
public void transfer(int from, int to, int amount) {
    bankLock.lock();
    try {
        while (accounts[from] < amount) {
            sufficientFunds.await();
            // transfer funds
            ...
        sufficientFunds.signallAll();
        }
        finally {
        bankLock.unlock();
     }
}</pre>
```

- Call to signalAll() does not immediately activate a waiting thread
- It only makes it available to compete

Reentrant/ Condition Objects Summary



- A Lock protects sections of code
 - Allows only one Thread to execute the code at a time

A Lock manages threads that enter a protected segment

A Lock can have one or more associated Condition objects

Each **Condition** object manages threads that have entered a protected code section but that cannot proceed



Used to implement **exclusive synchronization** prior to JDK 5.0

All Java objects have potential for exclusion synchronization

- Every Java object has a **monitor** and a **monitor lock** (or **intrinsic lock**)
- The **monitor** ensures that its object's **monitor lock** is held by a max of only one thread at any time
- Monitors and monitor locks are used to enforce mutual exclusion

Threads are synchronized by locking objects before accessing critical section

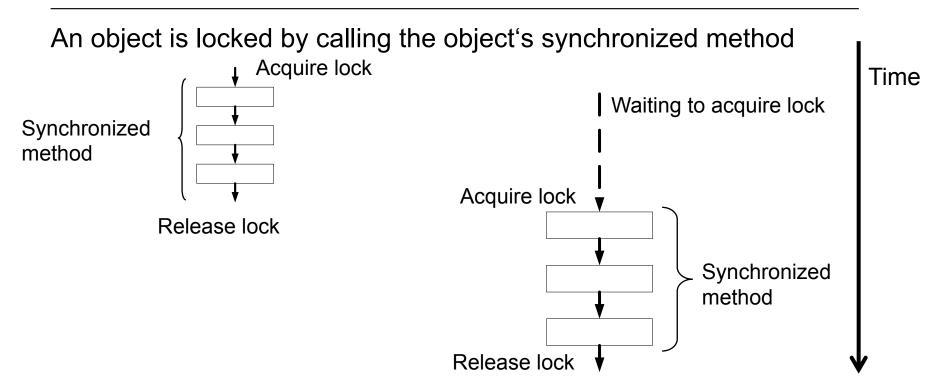
The synchronized Keyword



Java implements synchronization with synchronized keyword in two ways

- Through synchronized methods
- Through synchronized statement

The synchronized Keyword



Another **Thread** invoking a synchronized method on the same object must wait until the lock is released

Exclusion synchronization forces execution of two threads to be mutually exclusive in time

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The synchronized Keyword



Example

- The implicit object lock has a single associated condition
- The *wait* method adds a Thread to the *wait set*
- notifyAll / notify methods unblock waiting threads

Lock / Condition or Synchronized methods?



- Prefer the synchronized keyword write less code
- Use Lock/ Condition if you specifically need the additional power

Static Synchronized Methods



Static methods can be declared synchronized

- Acquire a lock on the **Class** object for the class
- Two threads cannot execute *static synchronized methods* of the same class at the same time

If static data is shared between threads, then access to it must be protected using *static synchronized methods*

No effect on objects of the class

Only other static synchronized methods are blocked



Allows to execute synchronized code that acquires the lock of any object

Allows to synchronously execute block of code within a method

A synchronized statement has two parts:

- An object whose lock is to be acquired
- A statement block to execute when the lock is obtained



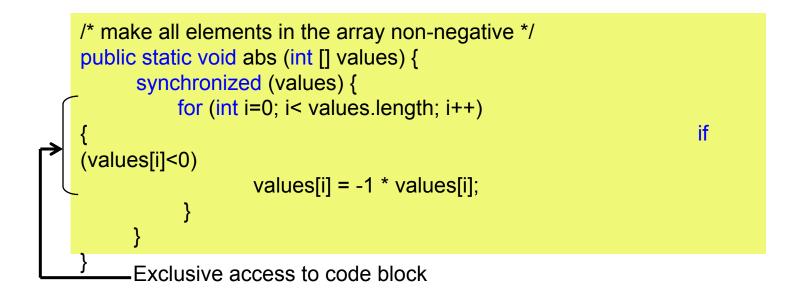
General form

synchronized (expression) {
 statement block
}

- expression must evaluate to an object reference
- Statement block to execute when lock on referenced object is obtained



Example

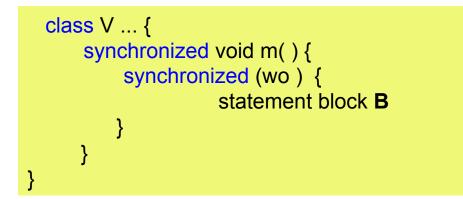


- The values array contains elements to be modified and has been synchronized
- Guaranteed that the loop can execute without values being modified
- This kind of synchronization sometimes called **client-side synchronization**

Nested Critical Sections



Critical sections can be nested



- Block **B** executes with exclusive access to both wo and current instance of V
- Call a synchronized method inside another synchronized method
- Useful for coordinating updates e.g., between say objects z, x and y given:
 A method m on z must also update x and y to maintain consistency
 m can contain nested blocks synchronized with respect to x and y
 Innermost block provides exclusive access to x, y and z



We can nest methods and blocks that are synchronized with respect to the same object

Assume:

- Thread t calls o.m and that m is synchronized
- Let t call another synchronized method on o from within m; t gets an additional lock on o
- Each time t exits a critical section, its releases a lock
- Thus t keeps o locked until it exits its outermost critical section wrt o
- Excessive multiple locks can result in performance degradation

Volatile Fields



The volatile keyword offers a lock-free mechanism for synchronizing access to an instance field

If a field is declared as volatile, the compiler and the virtual machine take into account that the field may be concurrently updated by another thread

private volatile boolean done;

Forces the VM not to cache the instance field

Accessing volatile variables is slower than accessing regular variables



Multi-threading and synchronization create the danger of **deadlock**

Deadlock: a circular dependency on a pair of synchronized objects

Suppose that:

- One thread locks object **X**
- Another thread locks object Y
- The first thread tries to call a synchronized method on object **Y**
- The second thread tries to call a synchronized method on object **X**

The result: *the threads wait forever – deadlock*

Deadlocks



Example

- Bank Application - Money transfer

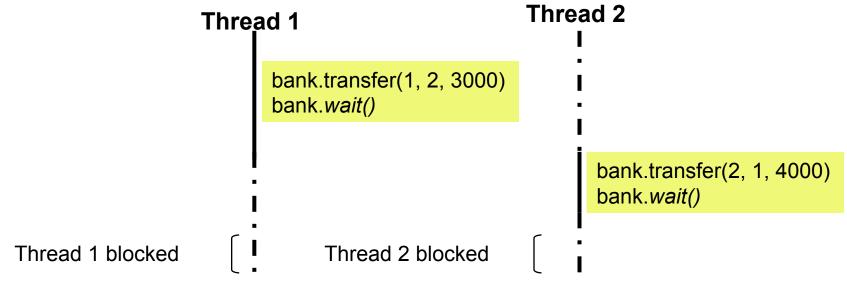
A transfer is possible if sufficient funds are available, otherwise the thread must wait

Deadlocks



Example cont ...

- Account 1: \$2,000
- Account 2: \$3,000
- Thread 1: Transfer \$3,000 from Account 1 to Account 2
- Thread 2: Transfer \$4,000 from Account 2 to Account 1



- Thread 1 & Thread 2 are deadlocked!



There is nothing in the Java programming language to avoid or break deadlocks

Design your program to ensure that a deadlock situation cannot occur

Fairness



Fair Locking Policy

- Favors a Thread that has been waiting the longest

How to specify a fair locking policy?

Lock fairLock = new ReentrantLock (true);

A fair locking policy can cause a drag on performance

Also, no guarantee that the thread scheduler will be fair

Lock Testing



A Thread blocks indefinitely when it calls lock method when the lock is owned by another **Thread**

Use the tryLock method to try and acquire the lock when its available, and do something if its not

```
if (myLock.tryLock())
    // now the thread owns the lock
    try {
        finally {
            myLock.unlock();
        }
    else // do something else
```

- If the lock is available when the call is made, the current thread gets it, even if another thread has been waiting to lock it

Read/ Write Locks



Two lock classes in java.util.concurrent.locks package

- ReentrantLock
- ReentrantReadWriteLock

The **ReentrantReadWriteLock** class is useful when more reads than writes occur in a data structure

- Allow shared access for readers
- Writers must still have exclusive access



Steps for using ReentrantReadWriteLock object

1. Construct a **ReentrantReadWriteLock** object

private ReentrantReadWriteLock rw1 = new

ReentrantReadWriteLock();

2. Extract Read and Write locks

private Lock readLock = rwl.readLock();
private Lock writeLock = rwl.writeLock();



3. Use the read lock in all accessors

```
public double getTotalBalance() {
  readLock.lock();
  try {...}
  finally { readLock.unlock(); }
}
```

4. Use write locks in all mutators

```
public void transfer(...) {
  writeLock.lock();
  try {...}
  finally { writeLock.unlock(); }
}
```



The producer/ consumer problem is characterized as follows:

- Producer generates data and stores it in a shared buffer
- The consumer read data from shared buffer

The following property must be preserved

- If the buffer is not full, the producer may continue to produce, otherwise it must wait for the consumer to "consume" to create space in the buffer
- If the buffer is not empty, the consumer may continue to consume, otherwise it must wait for the producer to "produce" and add to the buffer

Blocking queues are useful for *coordinating multiple threads*

- Producer threads/ consumer threads
- <u>http://courses.cs.vt.edu/~csonline/OS/Lessons/Synchronization/index.html</u>

Blocking Queues



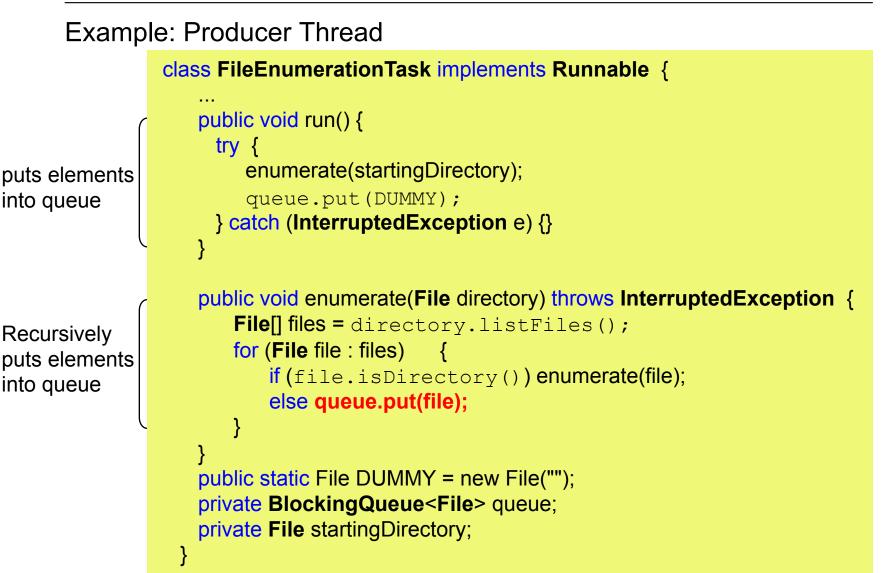
A Queue has two fundamental operations

- Adds a data element to the tail of the queue
- Removes a data element from the head of the queue

A queue is blocking if

- It causes a Thread to block if an *add* operation is invoked when the queue is full
- It causes a Thread to block when a *remove* operation is invoked when queue is empty





Blocking Queues

elements from

removes



```
Example: Consumer Thread
    class SearchTask implements Runnable {
       public void run() {
          try {
           boolean done = false:
dueue
           while (!done) {
             File file = queue.take();
the
             if (file == FileEnumerationTask.DUMMY) { queue.put(file); done = true; }
             else search(file);
         catch (IOException e) { e.printStackTrace(); }
         catch (InterruptedException e) {}
       public void search(File file) throws IOException {
       private BlockingQueue<File> queue;
       private String keyword;
```

Thread-Safe Collections



Use thread-safe collections for multi-threaded usage

- ConcurrentHashMap
- ConcurrentLinkedQueue

ConcurrentHashMap

- Efficiently supports large number of readers & fixed number of writers
- Default 16 simultaneous writers
- Uses sophisticated algorithms that never locks entire table
- Atomic insertion and removal operations

```
cache.putIfAbsent(key, value);
```

```
cache.remove(key, value);
```

cache.replace(key, oldValue, newValue);



Vector and **Hashtable** classes provided thread-safe implementations of a dynamic array and a hash table

- **Vector** now replaced by **ArrayList** (not thread safe)
- Hashtable now replaced by HashMap (not thread safe)

Make a collection thread-safe by using synchronization wrapper

List synchArrayList = Collections.synchronizedList(new ArrayList());
Map synchHashMap = Collections.synchronizedMap(new HashMap());

Must use synchronized block to iterate over the collection

```
synchronized (synchHashMap) {
    Iterator iter = synchHashMap.keySet().iterator();
    while (iter.hasNext())...;
```



Callable interface

- Encapsulates a task that runs asynchronously, but returns a value
- The **Callable** interface is similar to the **Runnable** interface, save for returning a value

pul	blic	inte	rface	Ca	Illa	ble∘	<v> -</v>	{
	V	call() thro	ws	Ex	cep	otion	•
}								



Future interface

- Represents the results of an *asynchronous computation*
- Provides methods
 - ž To check if the computation is complete (isDone)
 - ž To check if the computation is complete (*using timeout*)
 - ž To retrieve the result of computation (get)

```
public interface Future<V> {
    V get() throws . . .;
    V get(long timeout, TimeUnit unit) throws . . .;
    void cancel(boolean mayInterrupt);
    boolean isCancelled();
    boolean isDone();
}
Blocks until the computation is complete
```

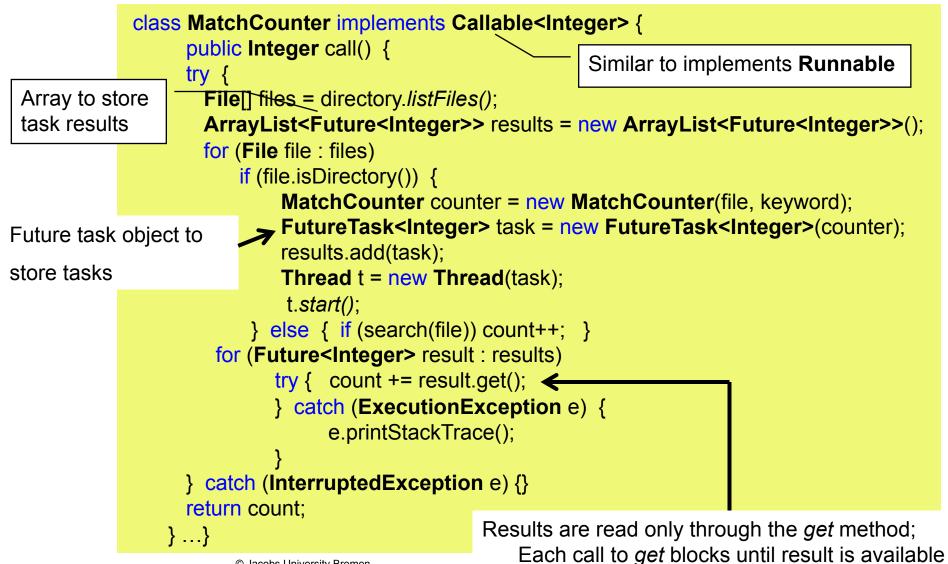


FutureTask

- A wrapper class that implements both the Future and Runnable interfaces

Callable and Futures: Example







If an action takes a long time, fire up a new thread to do the work

If an action can block on I/O, fire up a new thread to do the work

If you need to wait for a specific amount of time, don't sleep in the event dispatch a thread - use timer events



Swing is not thread safe

- Avoid manipulating IU elements from multiple threads

Work done in threads cannot touch the UI

Read any information from the UI before launching threads

Launch them and then update the UI from the event dispatching thread once the threads have completed

- This is often called "single thread rule for Swing programming"

Reading Assignment



- Horstmann, C. S. & Cornell, G. (2013) Core Java(TM) 2 (Vol. I), Prentice Hall, 9th Edition. Chapter 14.
- Deitel, P. J. & Deitel, H. M (2012) Java How to Program. 9th Ed. Pearson Education International. Chapter 23.

Practice Task



There is a one-way bridge that can hold up to three cars. Cars arrive at one end of the bridge and exit the bridge at the other end. Traffic is allowed only in the one, available, direction. Describe a solution to this synchronization problem. Write a Java program to solve the problem.